# **Profinite Groups 1**

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What is a profinite group?

- a compact Hausdorff totally disconnected group
- a closed subgroup of a Cartesian product of finite groups
- an inverse limit of finite groups
- a Galois group of an algebraic field extension.

(Totally disconnected: no connected subset has more than one element.

A [locally] compact Hausdorff space is totally disconnected iff its compact open subsets form a base for its topology.)

What is a profinite group a pro-p group?

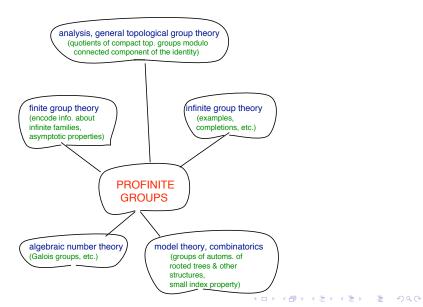
– a compact Hausdorff tot. disconnected group in which  $g^{p^n} \to 1$  as  $n \to \infty$ , for all  $g \in G$ 

 a closed subgroup of a Cartesian product of finite groups of finite *p*-groups

- an inverse limit of finite groups of finite p-groups

- a Galois group of an algebraic field extension such that each finite subextension has *p*-power degree.

Where do profinite groups arise?



If X, Y are groups, so is  $X \times Y$ :  $(x_1, y_1)(x_2, y_2) = (x_1x_2, y_2y_2)$ . If X, Y are top. spaces so is  $X \times Y$ : base of open sets  $\{U \times V \mid U \text{ open in } X, V \text{ open in } Y\}$ . Let G be both a group and a top. space: G is a topological group if both  $x \mapsto x^{-1}$  and  $(x, y) \mapsto xy$  (from  $G \times G$  to G) are continuous. If X, Y are top. groups, so is  $X \times Y$ .

**Infinite Products.**  $C = Cr_{\lambda \in \Lambda} X_{\lambda}$  is the Cartesian product of  $(X_{\lambda} \mid \lambda \in \Lambda)$ . Elements are vectors  $(x_{\lambda})_{\lambda \in \Lambda}$ , entries indexed by  $\Lambda$ . Projection maps  $\pi_{\lambda} : C \to X_{\lambda}$ . If each  $X_{\lambda}$  is a group so is C:  $(x_{\lambda})(y_{\lambda}) = (x_{\lambda}y_{\lambda})$ . If each  $X_{\lambda}$  is a top. space, so is C: subbase of open sets  $\{\pi_{\lambda}^{-1}(U_{\lambda}) \mid \lambda \in \Lambda, U_{\lambda} \text{ open in } X_{\lambda}\}$ . If each  $X_{\lambda}$  is a top. group, so is C.

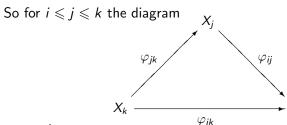
**Tychonoff's theorem.** If each  $X_{\lambda}$  is compact then so is *C*.

So if each  $X_{\lambda}$  is compact Hausdorff, so is each closed subset of C. If  $X_{\lambda}$  is a cpct. Haus. top. group, so is each closed subgroup of C. (E.g., take  $X_{\lambda}$  finite, with discrete top.)

#### Inverse systems

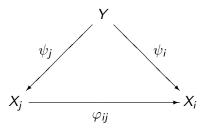
A directed set is a poset I such that for all  $i_1, i_2 \in I$  there is an element  $j \in I$  for which  $i_1 \leq j$  and  $i_2 \leq j$ .

(1.1.1) Definition. An inverse system  $(X_i, \varphi_{ij})_I$  of top. spaces indexed by a directed set I is a family  $(X_i | i \in I)$  of top. spaces with a family  $(\varphi_{ij}: X_j \to X_i | i, j \in I, i \leq j)$  of conts. maps such that  $\varphi_{ii} = \operatorname{id}_{X_i}$  for each i and  $\varphi_{ij}\varphi_{jk} = \varphi_{ik}$  whenever  $i \leq j \leq k$ .



commutes.

Sets with no specified topology are given the discrete topology. If  $(X_i, \varphi_{ij})$  consists of top. groups and conts. homoms.,  $(X_i, \varphi_{ij})$  is an inverse system of top. groups; similarly for top. rings Let  $(X_i, \varphi_{ij})_I$  be an inverse system of top. spaces, Y a top. space. A family  $(\psi_i: Y \to X_i \mid i \in I)$  of conts. maps is compatible if  $\varphi_{ij}\psi_j = \psi_i$  for  $i \leq j$ . That is, each diagram

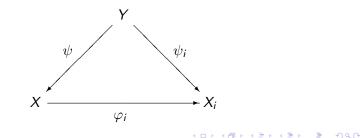


is commutative.

(1.1.3) Definition. An inverse limit  $(X, \varphi_i)$  of an inverse system  $(X_i, \varphi_{ij})_I$  of top. spaces (resp. groups, rings) is a top. space (resp. group, ring) X together with a compatible family  $(\varphi_i: X \to X_i)$  of conts. maps (resp. conts. homoms.) with the foll. universal property (UP):

for each compatible family  $(\psi_i: Y \to X_i)$  of conts. maps from a space Y (resp. conts. homoms. from a group or ring Y), there is a unique conts. map (resp. conts. homom.)  $\psi: Y \to X$  such that  $\varphi_i \psi = \psi_i$  for each *i*.

That is, there is a unique  $\psi$  such that the foll. diagrams are commutative.



### Existence and 'uniqueness'

(1.1.4) Let  $(X_i, \varphi_{ij})_I$  be an inverse system. (a) If  $(X^{(1)}, \varphi_i^{(1)})$  and  $(X^{(2)}, \varphi_i^{(2)})$  are inverse limits, then  $\exists$  isom.  $\bar{\varphi}: X^{(1)} \to X^{(2)}$  such that  $\varphi_i^{(2)} \bar{\varphi} = \varphi_i^{(1)}$  for each *i*. (If  $(X_i, \varphi_{ij})$  is an inverse system of spaces then  $\bar{\varphi}$  is just a homeom.) (b) Write  $C = \operatorname{Cr}(X_i \mid i \in I)$  and  $\pi_i$  for the projection  $C \to X_i$ . Define

$$X = \{ c \in C \mid arphi_{ij} \pi_j(c) = \pi_i(c) ext{ for all } i, j ext{ with } j \geqslant i \}$$

and  $\varphi_i = \pi_i|_X$  for each *i*. Then  $(X, \varphi_i)$  is an inverse limit of  $(X_i, \varphi_{ij})$ . (c) If  $(X_i, \varphi_{ij})$  is an inverse system of top. groups and conts. homoms., then X is a top. group and the maps  $\varphi_i$  are conts. homoms. So the inverse limit of  $(X_i, \varphi_{ij})_I$  exists and is unique up to isom. Call it the inverse limit, write  $\lim_{\leftarrow} (X_i, \varphi_{ij})$ , or just  $\lim_{\leftarrow} X_i$ . Write  $s \lim_{\leftarrow} X_i$  for the inverse limit constructed above as subgroup of C.

**Note.** If  $(X_i, \varphi_{ij})_I$  is an inverse system of top. groups, then from (b) its inverse limit, as a set, is also the inverse limit of  $(X_i, \varphi_{ij})$  as an inverse system of sets.

(0.3.4) Let  $(G_{\lambda} \mid \lambda \in \Lambda)$  be top. groups, and

 $C = \mathsf{Cr}(G_{\lambda} \mid \lambda \in \Lambda).$ 

Define multiplication in C pointwise (  $(x_{\lambda})(y_{\lambda}) = (x_{\lambda}y_{\lambda})$ ). Then C is a topological group, i.e., mult. and inversion are conts. maps.

Proof: definition of product topology plus

Claim. A map  $f: Z \to C$  is conts.  $\Leftrightarrow$  each  $\pi_{\lambda} f$  is continuous.  $\Rightarrow$  klar.

Suppose each  $\pi_{\lambda}f$  conts. If  $U_i$  is open in  $X_{\lambda_i}$  for i = 1, ..., n then each  $(\pi_{\lambda_i}f)^{-1}(U_i)$  is open in Z so  $f^{-1}(\bigcap_{i=1}^n \pi_{\lambda_i}^{-1}(U_i)) = \bigcap_{i=1}^n (\pi_{\lambda_i}f)^{-1}(U_i)$  is open in Z. If  $f: X \to Y$  and  $g: Y \to Z$  are conts. so is  $gf: X \to Z$ . A bijection  $f: X \to Y$  is a homeomorphism iff  $f, f^{-1}$  are both conts.

(0.1.2)

(a) Each closed subset of a compact space is compact.

(b) Each compact subset of a Hausdorff space is closed.

(c) If  $f: X \to Y$  is continuous and X is compact then f(X) is compact.

(d) If  $f: X \to Y$  is continuous and bijective and if X is compact and Y is Hausdorff then f is a homeomorphism.

(e) If  $f: X \to Y$  and  $g: X \to Y$  are continuous and Y is Hausdorff then  $\{x \in X \mid f(x) = g(x)\}$  is closed in X.

(0.1.3) Let X be a totally disconnected space. Then  $\{x\}$  is closed in X, for each  $x \in X$ .

Let  $C = Cr(X_{\lambda} | \lambda \in \Lambda)$  be the product of spaces  $X_{\lambda}$ . The projection map  $\pi_{\lambda}$  takes  $(x_{\lambda})$  to  $x_{\lambda}$ . The open sets in the product top. are the unions of sets

$$\pi_{\lambda_1}^{-1}(U_1) \cap \cdots \cap \pi_{\lambda_n}^{-1}(U_n) \tag{(*)}$$

with *n* finite, each  $\lambda_i$  in  $\Lambda$  and  $U_i$  open in  $X_{\lambda_i}$ . So each projection  $\pi_{\lambda}$  is continuous (prod. top. is minimal with this property).

**Remark.** Let  $a = (a_{\lambda}) \in C$  and let N be an open neighbourhood of a in C. Thus N contains a set S of form (\*) with  $a \in S$ , so there exist  $\lambda_1, \ldots, \lambda_n \in \Lambda$  and open  $U_i \subseteq X_{\lambda_i}$  with  $a_{\lambda_i} \in U_i$  for each iand with  $\pi_{\lambda_1}^{-1}(U_1) \cap \cdots \cap \pi_{\lambda_n}^{-1}(U_n) \subseteq N$ . In particular, N contains

$$\{x \in C \mid \pi_{\lambda_1}(x) = a_{\lambda_1}, \ldots, \pi_{\lambda_n}(x) = a_{\lambda_n}\}.$$

**(0.2.1)** Let  $(X_{\lambda} \mid \lambda \in \Lambda)$  be a family of top. spaces, *C* their Cartesian product.

(a) If each X<sub>λ</sub> is Hausdorff, so is C.
(b) If each X<sub>λ</sub> is totally disconnected, so is C.
(c) If each X<sub>λ</sub> is compact, so is C.

*Proof.* (a) and (b) are elementary; in (b), since a conts. image of a connected set is connected, the projection in each  $X_{\lambda}$  of a non-empty connected set has one element.

(c) is Tychonoff's Theorem, postponed.

## Topology of inverse limits

(1.1.5) Let  $(X_i, \varphi_{ij})_I$  be an inverse system and write  $X = \lim X_i$ .

(a) If each X<sub>i</sub> is Hausdorff, so is X.
(b) If each X<sub>i</sub> is totally disconnected, so is X.
(c) If each X<sub>i</sub> is Hausdorff, then s lim X<sub>i</sub> is closed in C = Cr(X<sub>i</sub> | i ∈ I).
(d) If each X<sub>i</sub> is compact and Hausdorff, so is X.
(e) If each X<sub>i</sub> is a non-empty compact Hausdorff space, then X ≠ Ø.

(1.1.6) Let  $(X, \varphi_i)$  be an inverse limit of an inverse system  $(X_i, \varphi_{ij})_I$  of non-empty compact Hausdorff spaces. Then (a)  $\varphi_i(X) = \bigcap_{i>i} \varphi_{ij}(X_j)$  for each  $i \in I$ .

(b) the sets  $\varphi_i^{-1}(U)$  with  $i \in I$  and U open in  $X_i$  form a base for the topology on X.

(c) if  $Y \subseteq X$  and  $\varphi_i(Y) = X_i$  for each *i* then *Y* is dense in *X*. (d) If *Y* is a top. space and  $\theta$  is a map  $Y \to X$  then  $\theta$  is conts. iff each  $\varphi_i \theta$  is conts.

(e) If A is discrete and  $f: X \to A$  is a conts., then f factors through some  $X_i$ , i.e.,  $\exists i$  and conts.  $g: X_i \to A$  with  $f = g\varphi_i$ .

Let  $\rho$  be an equivalence relation on a top. space X.  $X/\rho$  is the quotient set (elements the equiv. classes) q the quotient map  $X \to X/\rho$  (maps  $x \in X$  to its equiv. class). The quotient topology on  $X/\rho$  has as open sets all  $V \subseteq X/\rho$  such that  $q^{-1}(V)$  is open in X. Then q is continuous. Easy to check the following:

if  $f_1 \times Z$  is a continuous. Lasy to check the following.

if  $f: X \to Z$  is a continuous map to a space Z such that  $\rho$ -equiv. elements have the same image under f, then there is a unique continuous map  $f^*: X/\rho \to Z$  such that  $f = f^*q$ .

Call a subspace of a top. clopen if it is closed and open.

(1.1.7) Let X be a compact Hausdorff totally disconnected space. Then X is the inverse limit of its discrete quotient spaces. (1.4.1) Let G be a group,  $\mathcal{L}$  a non-empty family of normal subgroups such that if  $K_1, K_2 \in \mathcal{L}$  and  $K_1 \cap K_2 \leq K_3 \triangleleft G$  then  $K_3 \in \mathcal{L}$ . Let  $\mathcal{T}$  be the family of all unions of sets of cosets Kg with  $K \in \mathcal{L}, g \in G$ . Then (a)  $\mathcal{T}$  is a topology on G and G is a top. group; (b)  $\mathcal{L}$  is the set of open normal subgroups of G wrt this topology.