

## 25. Spectral representation of unbounded self-adjoint operators. Curves in $\mathbb{R}^3$ .

### 1. Spectral representation

Let  $H$  be a complex Hilbert space.  
We recall that a bounded operator  
 $U: H \rightarrow H$  is called unitary if  
 $U^* = U^{-1}$ .

**Th 25.1** Let  $U: H \rightarrow H$  be a unitary  
operator. Then there exists a spectral  
family  $\{E_\theta\}_\theta$  on  $[-\pi, \pi]$  such that

$$U = \int_{-\pi}^{\pi} e^{i\theta} dE_\theta. \quad (25.1)$$

(where the integral is understood in the  
sense of uniform operator convergence)

**Proof (Idea)** One can show that there  
exists a bounded self-adjoint linear  
operator  $S$  with  $\sigma(S) \subset [-\pi, \pi]$  such  
that

$$U = e^{iS} = \cos S + i \sin S$$

Let  $\{E_\theta\}$  be a spectral family for  
 $S$  on  $[-\pi, \pi]$ . Then

$$S = \int_{-\pi}^{\pi} \theta \, dE_{\theta}$$

Hence,

$$\begin{aligned}
 U &= e^{iS} = \int_{-\pi}^{\pi} \cos \theta \, dE_{\theta} + i \int_{-\pi}^{\pi} \sin \theta \, dE_{\theta} \\
 &= \int_{-\pi}^{\pi} e^{i\theta} \, dE_{\theta}.
 \end{aligned}$$

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Let  $T : \mathcal{D}(T) \rightarrow H$  be a self-adjoint linear operator, where  $\mathcal{D}(T)$  is dense in  $H$  and  $T$  may be unbounded.

We take a new operator

$$U = (T - iI)(T + iI)^{-1}$$

this operator is called the **Cayley transform** of  $T$ . It is defined on the whole Hilbert space since  $-i \notin \sigma(T) \subseteq \mathbb{R}$ . One can also check that it is unitary and

$$T = i(I + U)(I - U)^{-1}$$

## Th 25.2 (Spectral representation for unbounded self-adjoint operators)

Let  $T: \mathcal{D}(T) \rightarrow H$  be a self-adjoint linear operator and  $\mathcal{D}(T)$  is dense in  $H$ .

Let  $\tilde{U}$  be the Cayley transform of  $T$  and  $\{\tilde{E}_\alpha\}$  be a spectral family in the spectral representation (25.1) for  $-\tilde{U}$ . Then

$$T = \int_{-\pi}^{\pi} \tan \frac{\theta}{2} d\tilde{E}_\alpha =$$

$$= \int_{-\infty}^{+\infty} \lambda dE_\lambda,$$

where  $E_\lambda = \tilde{E}_{2 \arctan \lambda}$ ,  $\lambda \in \mathbb{R}$

Motivation for the form of the representation.

We remark that

$$T = i(I + \tilde{U})(I - \tilde{U})^{-1} =$$

$$= f(-\tilde{U}),$$

where  $f(\alpha) = i \frac{1 - \alpha}{1 + \alpha}$

Let

$$-V = \int_{-\pi}^{\pi} e^{i\theta} d\tilde{E}_{\theta}.$$

Then

$$T = \int_{-\pi}^{\pi} f(-e^{i\theta}) d\tilde{E}_{\theta} =$$

$$= \int_{-\pi}^{\pi} i \frac{1 - e^{i\theta}}{1 + e^{i\theta}} d\tilde{E}_{\theta} =$$

$$= \int_{-\pi}^{\pi} i \frac{(1 - \cos \theta) - i \sin \theta}{(1 + \cos \theta) + i \sin \theta} d\tilde{E}_{\theta} = \dots =$$

$$= \int_{-\pi}^{\pi} i \frac{-2i \sin \theta}{2 + 2 \cos \theta} d\tilde{E}_{\theta} = \int_{-\pi}^{\pi} \tan \frac{\theta}{2} d\tilde{E}_{\theta}.$$

**Example 25.3** (Spectral representation of the multiplication operator)

Let  $H = L^2(-\infty, +\infty)$  be taken over  $\mathbb{C}$ , and

$$(Tx)(t) = tx(t), \quad t \in \mathbb{R},$$

$$\mathcal{D}(T) = \left\{ x \in L^2(-\infty, +\infty) : \int_{-\infty}^{+\infty} t^2 |x(t)|^2 dt < +\infty \right\}$$

Then  $T$  is self-adjoint and

$$(F_\lambda x)(t) = \begin{cases} x(t), & t < \lambda \\ 0, & t \geq \lambda \end{cases}$$

is the spectral family associated with  $T$ .

# III Differential geometry

## Curves in $\mathbb{R}^3$

### 2. Some definitions

We consider a map

$$x: I \rightarrow \mathbb{R}^3,$$

where  $x(t) = (x_1(t), x_2(t), x_3(t))$ ,  $t \in I$ ,

$I = [a, b]$ . We assume that

- $x_i$  are  $r$  times continuously differentiable and

- for every  $t \in I$ ,

$$x'(t) = (x_1'(t), x_2'(t), x_3'(t)) \neq 0.$$

A set of points represented by  $x$  we will call a curve. A curve can have different representation. Indeed, let us consider a transformation

$$t = t(t^*) \quad (25.2)$$

such that

1)  $t: [a^*, b^*] \rightarrow [a, b]$ ,  $t(a^*) = a$ ,  $t(b^*) = b$   
(or  $t(a^*) = b$ ,  $t(b^*) = a$ )

2) the function is  $\infty$  times continuously differentiable

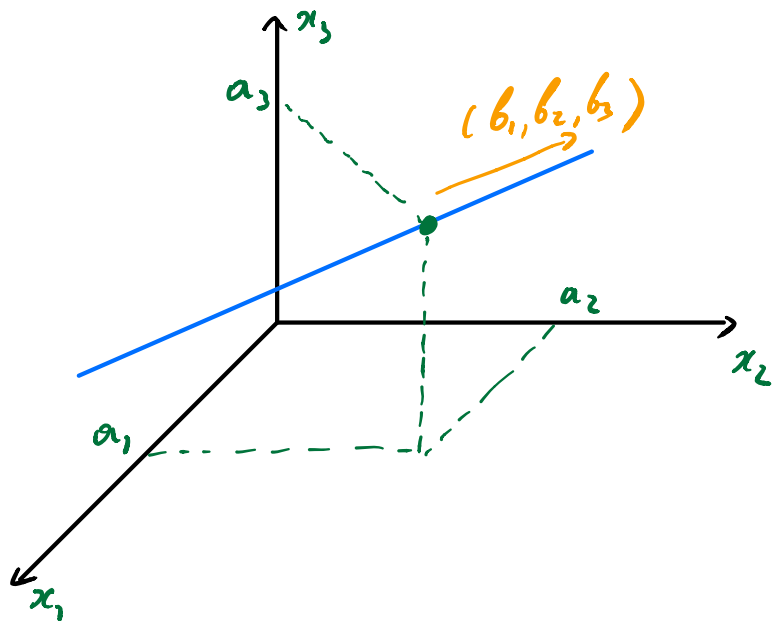
3)  $\frac{dt}{dt^*}$  is different from zero on  $I^*$ .

Then  $x(t(t^*)) =: x(t^*)$  is another parametrization of the curve  $x$ .

### Examples 25.4

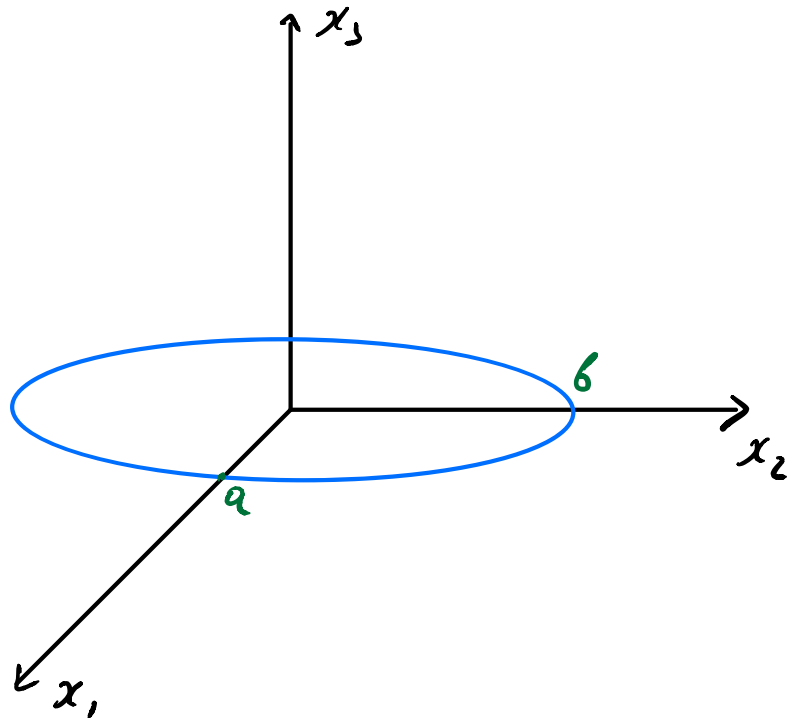
a)  $x(t) = (a_1 + b_1 t, a_2 + b_2 t, a_3 + b_3 t)$

- line passing through  $(a_1, a_2, a_3)$  and parallel to  $(b_1, b_2, b_3)$



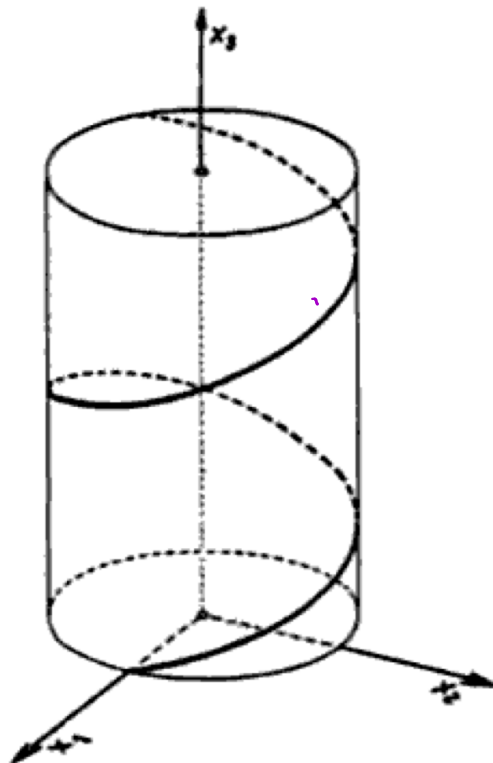
b)  $x(t) = (a \cos t, b \sin t, 0)$

- ellipse



c)  $x(t) = (r \cos t, r \sin t, ct)$ ,  $c \neq 0$

- circular helix.

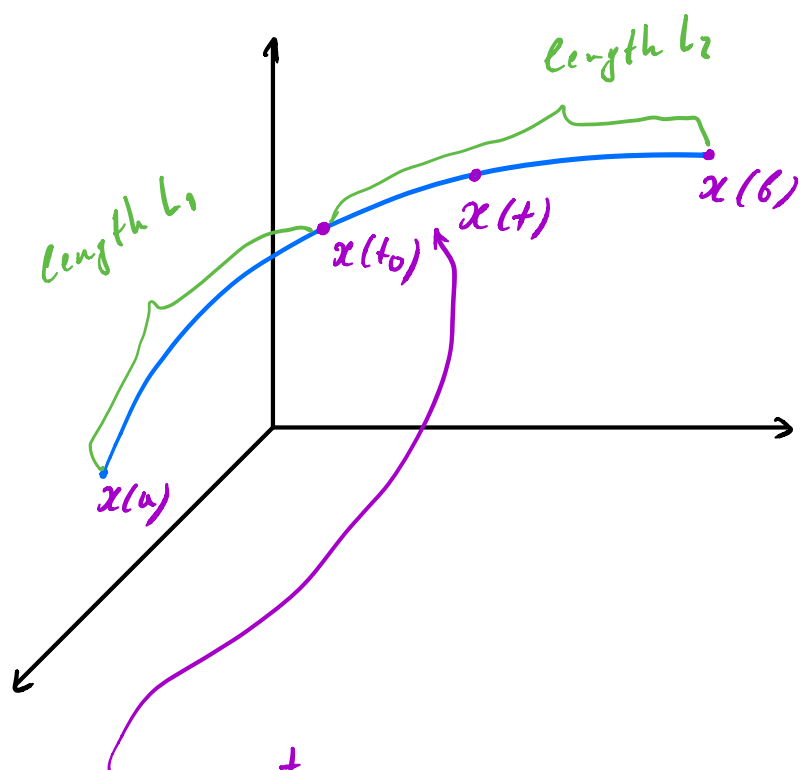




We recall that

$$L = \int_a^b |x'(t)| dt$$

is the length of the curve



$$s(t) = \int_a^t |x'(z)| dz$$

- length of the part of the curve

Since  $|x'(z)| > 0$ , the function  $s: [a, b] \rightarrow [a^*, b^*]$  is strictly increasing, we get that there exists the inverse map

$$t = t(s), \quad s \in [-L_1, L_2]$$

The parametrisation

$$x(s) := x(t(s)) \text{ is}$$

called a **natural parametrisation**.

Remark that the point  $t_0$  for  $s=0$  is chosen arbitrary.

**Notations:**

$$\dot{x} = \frac{dx}{ds}, \quad \ddot{x} = \frac{d^2x}{ds^2} \quad - \text{ for natural parametrisation}$$

$$x' = \frac{dx}{dt}, \quad x'' = \frac{d^2x}{dt^2} \quad - \text{ for any parametrisation.}$$

We remark that

$$\begin{aligned} \dot{x}(s) &= \frac{dx(s)}{ds} = \frac{dx}{dt} \cdot \frac{ds}{dt} = \\ &= \frac{dx}{dt}(t(s)) \cdot \frac{1}{|x'(t(s))|} \end{aligned}$$

$$\text{Hence } |\dot{x}(s)| = \frac{1}{|x'(s)|} |x'(s)| = 1.$$