

27.10.26, Part 2: X Hilbert. To show: $\lambda - T$ invert. $\Leftrightarrow \overline{\lambda} - T^*$ invert.
 WLOG $\lambda = 0$ (otherwise $S := \lambda - T$), i.e., to show: T invert. $\Leftrightarrow T^*$ invert.

$\Rightarrow$ if $\exists T^{-1}$, then $(T^{-1})^* = (T^*)^{-1}$ by.
 $T^* (T^{-1})^* = (T^{-1} T)^* = I^* = I$ and analog. $(T^{-1})^* T^* = I$

$\Leftarrow$ follows from $\Rightarrow$ for T^* (recall: $T^{**} = T$)

Rem. 2.11 in the proof of Part 1 we saw:

if T is bounded from below, i.e., $\exists c > 0$:

$$\|Tx\| \geq c\|x\| \quad \forall x,$$

then $\text{Ran } T$ is closed (and T is inj). So such operators are invertible

$\Leftrightarrow T^{-1}$ is injective $\Leftrightarrow T^*$ is inj
 (Ran T is dense) if X is Hilbert

Ex 2.12 ℓ^p , $p \in [1, \infty)$, $\sigma(T_\ell) = \bigcirc \bigcap \bigcirc$

By $T_r = T_\ell^*$ on ℓ^q , $\frac{1}{q} + \frac{1}{p} = 1$ we see that $\sigma(T_r) = \bigcirc \bigcap \bigcirc$
 (but $P_\sigma(T_r) = \emptyset$ - exerc.)

3. Classification of spectrum; spectrum of isometries and s.a. operators

Def. 3.1 For $T \in \mathcal{L}(X)$, the approximate point spectrum is

$$A_\sigma(T) := \{ \lambda \in \mathbb{C} : \exists (x_n)_{n=1}^\infty \overset{\text{approximate eigenvector}}{\subset} X \text{ with } \|x_n\|=1 \quad \forall n \text{ and } \|\lambda x_n - Tx_n\| \xrightarrow{n \rightarrow \infty} 0 \}$$

and the residual spectrum $\overset{\text{approximate eigenvalue}}{is}$

$$R_\sigma(T) := \{ \lambda \in \mathbb{C} : \text{Ran}(\lambda - T) \text{ is not dense in } X \}$$

Prop. 3.2 (Characterisation of A_σ)

For $T \in \mathcal{L}(X)$ and $\lambda \in \mathbb{C}$, $T \neq \lambda E$:

(i) $\lambda \notin A_\sigma(T)$

(ii) $\lambda - T$ is inj and $\text{Ran}(\lambda - T)$ is closed

(iii) T is bdd from below $\cdot \exists c > 0 : \|(\lambda - T)x\| \geq c\|x\| \quad \forall x$.

in particular,

$$A_\sigma(T) = \{ \lambda \in \mathbb{C} : \text{Ran}(\lambda - T) \text{ is not closed} \} \cup P_\sigma(T)$$

Proof WLOG $\lambda = 0$ (otherwise $S := \lambda - T$)

(i) $\Rightarrow$ (ii) Assume (ii) fails: $\forall n \exists x_n \neq 0 : \|Tx_n\| < \frac{\|x_n\|}{n}$

Def. $y_n = \frac{x_n}{\|x_n\|}$. Then $\|y_n\| = 1 \forall n$ and

$$\|Ty_n\| = \frac{\|Tx_n\|}{\|x_n\|} < \frac{1}{n} \rightarrow 0$$

and $0 \in A_\sigma(T)$.

(ii) $\Rightarrow$ (i) i.e. Remark 2.11

(i) $\Rightarrow$ (i) Let $Y := \text{Ran } T$ - Banach space (n.b. closed)

Consider $T: X \rightarrow Y$ bijective and continuous

Continuous inverse thm. $\exists S: Y \rightarrow X$ contin., lin with $ST = I_X$
 $TS = I_Y$

So $\forall x$ with $\|x\| = 1$

$$1 = \|x\| = \|STx\| \leq \|S\| \|Tx\|,$$

$$\text{i.e., } \|Tx\| \geq \frac{1}{\|S\|} \Rightarrow 0 \notin A_\sigma(T).$$

Cor 3.3 One has $\sigma(T) = A_\sigma(T) \cup R_\sigma(T)$.

Proof $\lambda - T$ not bijective $\Leftrightarrow$ not inj or $\text{Ran}(\lambda - T) \neq X$

Rem. $A_\sigma(T)$ and $R_\sigma(T)$ are not disjoint in general. $\text{Ran}(\lambda - T)$ not closed, or not dense

Ex $T = 0$ $\sigma(T) = P_\sigma(T) = A_\sigma(T) = R_\sigma(T) = \{0\}$

• Analog., $\sigma(\lambda I) = \dots = R_\sigma(\lambda I) = \{\lambda\}$

• Also $T = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ $0 = \sigma(T) = P_\sigma(T) = A_\sigma(T) = R_\sigma(T)$

Generally for matrices!

Thm 3.4 Let $T \in \mathcal{L}(X)$ and denote by $\partial\sigma(T)$ the topological boundary of $\sigma(T)$ in $\mathbb{C}$. Then

$$\partial\sigma(T) \subset A_\sigma(T)$$

in part., $A_\sigma(T) \neq \emptyset$

Proof Let $\lambda \in \partial\sigma(T)$ and take $(\lambda_n) \subset P(T)$ with $\lambda_n \rightarrow \lambda$.

By Cor 1.11:

$$\|R(\lambda_n, T)\| \geq \frac{1}{\text{dist}(\lambda_n, \sigma(T))} \xrightarrow{n \rightarrow \infty} \infty.$$

For $n \in \mathbb{N}$ take x_n with $\|x_n\| = 1$ s.t.

$$\|R(\lambda_n, T)x_n\| \geq \|R(\lambda_n, T)\| - \frac{1}{n} \xrightarrow{n \rightarrow \infty} \infty.$$

Def. now $y_n := \frac{R(\lambda_n, T)x_n}{\|R(\lambda_n, T)x_n\|}$. Then $\|y_n\| = 1 \forall n$ and

$$(\lambda - T)y_n = (\lambda_n - T)y_n + (\lambda - \lambda_n)y_n = \frac{x_n}{\|R(\lambda_n, T)x_n\|} + (\lambda - \lambda_n)y_n$$

So

$$\|(\lambda - T)y_n\| = \frac{1}{\|R(\lambda_n, T)x_n\|} + |\lambda - \lambda_n| \xrightarrow{n \rightarrow \infty} 0$$

So (y_n) is approx eigenvector to λ

Examples.

Prop. 3.5 (Spectrum of isometries)

Let $T \in \mathcal{L}(X)$ be an isometry, i.e., $\|Tx\| = \|x\| \forall x \in X$. Then:

(a) $A\sigma(T) \subset \mathbb{T}$
unit circle



(b) if T is invertible, then $\sigma(T) \subset \mathbb{T}$



(c) if T is not invert., then $\sigma(T) = \{\lambda : |\lambda| \leq 1\}$
in this case in part. $R\sigma(T) = \{\lambda \in \mathbb{C} : |\lambda| < 1\}$



(d) in (b), $\forall K \subset \mathbb{T}$ closed, $\neq \emptyset$ can be attained as $\sigma(T)$.

Proof (a) Let $\lambda \in A\sigma(T)$ then $\exists (x_n) \subset X : \|x_n\| = 1$:

$$\underbrace{|\lambda| \|x_n\| - \|Tx_n\|}_{\substack{= \|x_n\| \\ = 1}} \leq \|\lambda x_n - Tx_n\| \rightarrow 0,$$

i.e., $|\lambda| = 1$.

(b) T invert. $\Rightarrow 0 \in \rho(T)$. By (a) and $\partial\sigma(T) \subset A\sigma(T)$ we must have

$$\{\lambda : |\lambda| < 1\} \subset \rho(T)$$



(why?) By $\|T\| = 1$ we know $\sigma(T) \subset \{\lambda : |\lambda| \leq 1\}$, so $\subset \mathbb{T}$.

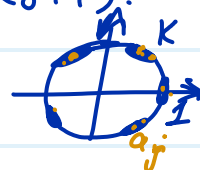
(c) if $0 \in \sigma(T)$, by $\partial\sigma(T) \subset \mathbb{T}$ (by (a)) we must have
 $\{\lambda : |\lambda| < 1\} \subset \sigma(T)$

hence $\sigma(T)$ is closed and by $\|T\| = 1$, $\sigma(T) = \overline{\{\lambda : |\lambda| < 1\}} = \{\lambda : |\lambda| \leq 1\}$

"in this case" follows from (a) and $\sigma(T) = A\sigma(T) \cup R\sigma(T)$.

(d) Consider multipl. on ℓ^2 : take $(a_j) \subset K$ dense

and M_a given by $M_a(t_1, t_2, \dots) := (a_1 t_1, a_2 t_2, \dots)$



- isometry since $a_j \in \mathbb{T} \forall j$ and $\sigma(M_a) = \overline{\{a_j : j \in \mathbb{N}\}} = K$

Rem. if X is Hilbert, then

$$T \text{ isometry} \Leftrightarrow \langle Tx, Ty \rangle = \langle x, y \rangle \forall x, y \Leftrightarrow \langle T^*Tx, y \rangle = \langle x, y \rangle \forall x, y$$

polarisation identity

$$\Leftrightarrow T^*T = I$$

So T is unitary $\Leftrightarrow T$ is invertible isometry, and in this case $\sigma(T) \subset \mathbb{T}$ by (b) in Prop. 3.5

Ex (Right shift) $X = \ell^\infty, \ell^p, \ell_0, \ell_1, \dots, T \rightarrow$

$$T(t_1, t_2, \dots) := (0, t_1, t_2, \dots)$$

$\bullet$ $\|Tx\| = \|x\|$ clear, T not invert. clear (not inj) $\Rightarrow \sigma(T) = \overline{\{0\}} \cup \mathbb{T} = \mathbb{D}$

Moreover, $A\sigma(T) = \mathbb{T}$

($\supset$ boundary) ($\subset$ isometry: Prop. 3.5 (a))

$\bullet P\sigma(T) = \emptyset$. $Tx = \lambda x \Leftrightarrow \begin{cases} 0 = \lambda t_1 \\ t_1 = \lambda t_2 \end{cases}$ (case 1: $\lambda = 0 \Rightarrow \forall t_j = 0$) (case 2: $\frac{t_1}{\lambda} = 0 \Rightarrow t_2 = 0 \Rightarrow \dots \Rightarrow x = 0$)

Ex. of an approximate eigenvector:

$\lambda = 1, X = \ell^\infty$. Find (x_n) $\|x_n\| = 1 \ \forall n$. $\|x_n - Tx_n\| \rightarrow 0$

Take $x_n = (\frac{1}{n}, \frac{2}{n}, \dots, \frac{n-1}{n}, 1, 1, 1, \dots)$
 $Tx_n = (0, \frac{1}{n}, \dots, \frac{n-2}{n}, \frac{n-1}{n}, 1, 1, \dots)$

$x_n - Tx_n = (\frac{1}{n}, \frac{1}{n}, \dots, \frac{1}{n}, 0, \dots)$ $\|\cdot\|_\infty = \frac{1}{n} \rightarrow 0$.

exerc. $X = \ell^p, c_0, c, \dots$ $\lambda = 1$ (and $\lambda \neq 1$)

Ex $X = \ell^\infty, \ell^p, c_0, c, \dots$

$T(t_1, t_2, \dots) = (0, t_1, 0, t_2, \dots)$ - the same $\sigma(T), A\sigma(T), R\sigma(T), P\sigma(T)$.

Rem. Moreover, one has the following (sheet 3):

(a) $A\sigma(T)$ is always closed

(b) $P\sigma(T') = R\sigma(T)$ and, if X Hilbert, $P\sigma(T^*) = \overline{R\sigma(T)}$ - complex conjugate
 $R\sigma(T^*) = \overline{P\sigma(T)}$

Ex $X = \ell^2, T \rightarrow, T^* \leftarrow$ not born!

$R\sigma(\rightarrow) = \overline{P\sigma(\leftarrow)} = \{ \lambda : |\lambda| < 1 \}$

$A\sigma(\rightarrow) = \mathbb{T}$, so for $\rightarrow$, $R\sigma$ and $A\sigma$ are disjoint.

For $\leftarrow$: $R\sigma(\leftarrow) = \overline{P\sigma(\rightarrow)} = \emptyset$, so $A\sigma(\leftarrow) = \sigma(\leftarrow) = \mathbb{T} \setminus \{1\}$

Analog. on $X = \ell^p, 1 \leq p < \infty$ and c_0 ($c_0' = \ell^1$)

$R\sigma(\rightarrow) = P\sigma(\leftarrow \text{ on } X') = \{ \lambda : |\lambda| < 1 \}$

$R\sigma(\leftarrow) = P\sigma(\rightarrow \text{ on } X') = \emptyset$

For isometries on Hilbert spaces one also has:

Prop. 3.6 For every isometry $T \in \mathcal{L}(H)$, every 2 eigenvectors to different eigenvalues are orthogonal.

Proof let $Tx = \lambda x, Ty = \mu y, \lambda \neq \mu$. We know $\lambda, \mu \in \mathbb{T}$ if eigenvalues (T isometry).
 Then $\langle x, y \rangle = \langle Tx, Ty \rangle = \lambda \bar{\mu} \langle x, y \rangle \Rightarrow \langle x, y \rangle = 0$.

Rem. As we saw for $\rightarrow$ on ℓ^2 , no EV is possible

Thm 3.7 (Spectrum of s.a. operators)

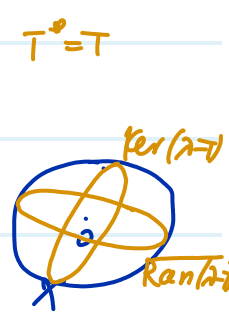
Let $T \in \mathcal{L}(H)$ Hilbert be s.a. Then

(a) $\sigma(T) \subset \mathbb{R}$. in part., $\sigma(T) = A\sigma(T)$

(b) $P\sigma(T) = R\sigma(T)$ and $\text{Ker}(\lambda - T) = (\text{Ran}(\lambda - T))^\perp$

(c) $\|T\| = r(T) = \sup_{\|x\|=1} |\langle Tx, x \rangle|$

(d) in (a), any closed non-empty subset of $\mathbb{R}$ can be $\sigma(T)$.



Rem. • $P_0(T) \subset \mathbb{R}$ easy: $Tx = \lambda x$, then

$$\lambda \cdot \|x\|^2 = \langle Tx, x \rangle = \langle x, Tx \rangle = \bar{\lambda} \|x\|^2, \quad \text{so } \lambda = \bar{\lambda} \text{ if } x \neq 0$$

• Eigenvectors to different EV are also orthogonal.

$$\begin{array}{l} Tx = \lambda x, \text{ then } \lambda \langle x, y \rangle = \langle Tx, y \rangle = \langle x, Ty \rangle = \mu \langle x, y \rangle, \\ Ty = \mu y, \\ \text{if } \lambda \neq \mu, \text{ so } \langle x, y \rangle = 0 \end{array}$$

Proof of 3.7 (a) let $\lambda = a + ib, b \neq 0$

We show. $\lambda - T$ is bdd from below (and hence $\lambda \notin A_\sigma(T)$ by Prop. 3.2)

$$\begin{aligned} \|(\lambda - T)x\|^2 &= \langle (a - T) + ib)x, ((a - T) + ib)x \rangle = \| (a - T)x \|^2 + i b \langle x, (a - T)x \rangle \\ &\quad + i \bar{b} \langle (a - T)x, x \rangle + |b|^2 \|x\|^2 = \| (a - T)x \|^2 + |b|^2 \|x\|^2 \\ &\quad \text{by } T = T^* \text{ and } a \in \mathbb{R} \end{aligned}$$

So $(\lambda - T)$ is bdd from below and $\lambda \notin A_\sigma(T)$. By Thm 3.4

$$(\mathbb{C} \setminus \mathbb{R}) \cap \partial\sigma(T) = \emptyset$$

- no boundary points in $\mathbb{C} \setminus \mathbb{R}$. Since $\sigma(T)$ is bdd,
 $(\mathbb{C} \setminus \mathbb{R}) \cap \sigma(T) = \emptyset,$

i.e., $\sigma(T) \subset \mathbb{R}$

"in part." follows from $\sigma(T) = \partial\sigma(T)$.

(b) let $\lambda \in \sigma(T)$. Then $\lambda \in \mathbb{R}$ by (a) and:

$$\ker(\lambda - T) = \ker(\lambda - T)^* = (\text{Ran}(\lambda - T))^\perp$$

So if $\lambda - T$ is surj $\Rightarrow$ inj $\Rightarrow$ bi.

(c) $\|T\| = r(T)$ follows by normality, see Prop. 2.9.

$$w := \sup \|Tx\| \leq \|T\| \text{ clear.}$$

To show: $\|x\|=1$

$$\begin{aligned} \text{Observe: } \langle T(x+y), x+y \rangle - \langle T(x-y), x-y \rangle &= 2\langle Tx, y \rangle + 2\langle Ty, x \rangle \\ &= 2(\langle Tx, y \rangle + \overline{\langle Tx, y \rangle}) = 4 \cdot \text{Re} \langle Tx, y \rangle \end{aligned}$$

By parallelogram law

$$4|\text{Re} \langle Tx, y \rangle| \leq w \cdot (\|x+y\|^2 + \|x-y\|^2) = 2w(\|x\|^2 + \|y\|^2)$$

in part., $|\text{Re} \langle Tx, y \rangle| \leq w \quad \forall x, y \text{ with } \|x\| = \|y\| = 1$

By multiplying x with appropriate $\lambda \in \mathbb{T}$,

$$|\langle Tx, y \rangle| \leq w \quad \forall x, y \text{ with } \|x\| = \|y\| = 1,$$

i.e., $\|T\| \leq w$.

(d)  Take ℓ^2 , multipl. with (a) dense in K .
 (Recall: $M_a^* = M_{\bar{a}}$)

Rem 3.8 For $T \in \mathcal{L}(K)$, the set

$$W(T) := \{ \langle Tx, x \rangle, \|x\|=1 \}$$

is called the numerical range of T and the number

$$w(T) := \sup_{\|x\|=1} |\langle Tx, x \rangle|$$

the numerical radius of T . They satisfy

- $W(T)$ is convex

- $\sigma(T) \subset \overline{W(T)}$

(no proof). In part., for s.a.T,

$$\sigma(T) \subset \underbrace{[\inf_{x \in \mathbb{R}} W(T), \sup W(T)]}_{= W(T) \text{ up to end points by convexity}}$$

and one of the endpoints (the one with largest $| \cdot |$) is in $\sigma(T)$ by $r(T) = w(T)$

Rem. 3.9 (Further/alternative classification of the spectrum)



Often one considers the continuous spectrum

$$\sigma_c(T) := \{ \lambda \in \sigma : \lambda - T \text{ inj, not surj, with dense range} \}$$

and the rest spectrum

$$\sigma_r(T) := \{ \lambda \in \sigma : \lambda - T \text{ inj, range not dense} \}$$

Then one has

$$\sigma_r(T) = R_\sigma(T) \setminus P_\sigma(T)$$

$$\sigma_c(T) = A_\sigma(T) \setminus (P_\sigma(T) \cup R_\sigma(T))$$

by prop. 3.2, $\lambda \in A_\sigma(T) \Leftrightarrow \lambda - T$ not inj or range not closed

and the disjoint decomp.

$$\sigma(T) = P_\sigma(T) \cup \sigma_c(T) \cup \sigma_r(T)$$

[Ex] $T \text{ s.a.} \Rightarrow \sigma_r(T) = \emptyset$ ($\text{Ker}(\lambda - T) = (\text{Ran } T)^\perp$)

4 Spectrum of compact operators: Riesz' theory

Persons:

- Frigyes Riesz (1880-1956) - hungarian math, one of founders of FA
- Erik Ivar Fredholm (1866-1927) - swedish math. and math physicist
- Julius Schauder (1899-1943) - polish math, college of Banach.

Def 4.1

A lin. operator $T: X \rightarrow Y$ is called compact if $\forall A \subset X$ bdd $T(A)$ is rel. compact, i.e., $\frac{\text{Banach}}{T(A)}$ is compact

Recall. in metric spaces, B is rel. comp ($\Leftrightarrow$) $\forall$ seq. from B has a convergent subsequence (comp $\Leftrightarrow$ sequential comp.)

Rem. 1) T comp. $\Leftrightarrow T(B_X)$ rel. compact
 $\forall$ open cover has a finite subcover
 closed unit ball in X $\{x \in X : \|x\| \leq 1\}$

$(\Rightarrow) \forall \{x_n\} \subset X$ with $\|x_n\| \leq 1 \exists$ subseq. (n_j) of N s.t. (Tx_{n_j}) conv.

(indeed, if $T(B_X)$ is rel. comp. then so is any subset of it, so $T(A)$ rel. comp. $\forall A \subset B_X$)

Attention: B_X itself is comp. $(\Rightarrow) \dim X < \infty$

2) T comp. $\Rightarrow T$ bdd since compact sets are bdd (why?)

Notation: $\mathcal{K}(X, Y) := \{T: X \rightarrow Y \text{ comp.}\} \subset \mathcal{L}(X, Y)$
 $\mathcal{K}(X) := \mathcal{K}(X, X) \subset \mathcal{L}(X)$

Ex 4.2 1) $\dim X < \infty \Rightarrow \mathcal{L}(X) = \mathcal{K}(X) = L(X)$

$\downarrow$ bdd, $\text{Kerho-Borel: } T(A) \text{ bdd} \Rightarrow \text{rel. comp. lin. oper. on } X$
 2) $\dim \text{Ran } T < \infty \Rightarrow T \text{ comp}$ (again Kerho-Borel)
 3) $I \text{ comp} \Leftrightarrow \dim X < \infty$

Thm 4.3 (Properties of $\mathcal{K}(X, Y)$)

(a) $\mathcal{K}(X, Y)$ is a closed lin. subspace of $\mathcal{L}(X, Y)$ and hence a Banach space itself.

(b) Let $T \in \mathcal{L}(X, Y), S \in \mathcal{L}(Y, Z)$. If T or S is compact, then so is ST .
 $\begin{matrix} X & \xrightarrow{T} & Y \\ \downarrow & & \downarrow \\ 0 & \xrightarrow{S} & 0 \end{matrix} \Rightarrow \text{in part, } \mathcal{K}(X) \text{ is a (closed) ideal in } \mathcal{L}(X)$

Proof (a) lin. subspace: let $T, S \in \mathcal{K}(X, Y), (x_n) \subset B_X$.
 $T \text{ comp.} \Rightarrow \exists (n_j): (Tx_{n_j}) \text{ conv.}$
 $S \text{ comp., } (x_{n_j}) \subset B_X \Rightarrow \exists \text{ subseq. } (m_j): (Sx_{m_j}) \text{ conv. (and } (Tx_{m_j}) \text{ also)}$
 so $(T+S)x_{m_j}$ conv.

closed let $T_n \rightarrow T$, each T_n comp. To show: T comp.
 let $(x_n) \subset X$ with $\|x_n\| \leq 1 \forall n$

$T_1 \text{ comp.} \Rightarrow \exists \text{ subseq. } (x_{n,1}): (T_1 x_{n,1}) \text{ conv.}$

$T_2 \text{ comp.} \Rightarrow \dots (x_{n,2}) \text{ of } (x_{n,1}): (T_2 x_{n,2}) \text{ conv.}$

etc.

$\begin{pmatrix} x_{1,1} & x_{2,1} & x_{3,1} \\ x_{2,1} & x_{1,1} & x_{3,2} \end{pmatrix}$

Consider $y_n := x_{n,n}$ - subseq. of each of $(x_{n,j})$ (and of (x_n)),

so $(T_j y_n)$ conv $\forall j$. To show: (Ty_n) conv.

let $\varepsilon > 0$. Take j with $\|T - T_j\| < \varepsilon$ and observe:

$$\begin{aligned} \|Ty_n - Ty_m\| &\leq \|Ty_n - T_j y_n\| + \|T_j y_n - T_j y_m\| + \|T_j y_m - Ty_m\| \\ &\leq \underbrace{\|T - T_j\|}_{< \varepsilon} \underbrace{\|y_n\|}_1 \leq \underbrace{\|T_j - T\|}_{< \varepsilon} \underbrace{\|y_m\|}_1 \\ &\leq 2\varepsilon + \|T_j y_n - T_j y_m\| < 3\varepsilon \text{ for large } n, m \text{ since } (T_j y_m) \text{ conv.} \end{aligned}$$

$(\exists N: \forall n, m > N)$

so (Ty_n) is a Cauchy seq. $\Rightarrow$ conv and (Tx_n) has a conv subseq.

1b) $\begin{matrix} X & \xrightarrow{T} & Y \\ \downarrow & & \downarrow \\ 0 & \xrightarrow{S} & 0 \end{matrix}$ Case 1: T comp.
 $A \text{ bdd} \Rightarrow TA \text{ rel. comp.} \Rightarrow STA \text{ rel. comp.}$
 $\uparrow$ continuous

Cor 2: $S \text{ comp.} \Rightarrow A \text{ bdd} \Rightarrow TA \text{ bdd} \Rightarrow STA \text{ rel comp.}$

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Rem. in particular, limits of operators with finite dimensional range are compact. ^{norm limit} converse false (counterexample by Enflo 1972)

[Ex] A multiplier M_a on $l^p, (0, \infty)$ is compact $\Leftrightarrow a_j \rightarrow 0$ (exerc.)

(note: $M_1 = I$)

A multiplier M_a on $L^p, (a, b)$ is compact $\Leftrightarrow a = 0$